

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Group Art Unit: Not yet assigned

SUGAHARA et al.

Attorney: GMN/JKH

Serial No. Not yet assigned

Filed: September 25, 2001

For: MPEG PICTURE DATA RECORDING APPARATUS, MPEG PICTURE  
RECORDING METHOD, MPEG PICTURE DATA RECORDING MEDIUM, MPEG  
PICTURE DATA GENERATING APPARATUS, MPEG PICTURE DATA  
REPRODUCING APPARATUS, AND MPEG PICTURE DATA REPRODUCING  
METHOD



INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner of Patents  
Washington, D.C. 20231  
S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ X ] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- [ ] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- [ ] ii. a check in the amount of \$180.00 required by 37 C.F.R. §1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- [ ] PETITION FEE: A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed.
- [ ] CERTIFICATION is attended to in box (e) below.

- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- ☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]
- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
- or
- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- or
- ☐ Appropriate certification is attached.
- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112. A duplicate of this sheet is enclosed.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

Date: September 25, 2001

By: \_\_\_\_\_

Gary M. Nath  
Registration No. 26,965  
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GMN/JKH:dd (IDS.HLN)

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SUGAHARA et al.

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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R.  
§§1.56 and 1.97-1.99, the documents listed on the attached Form  
PTO-1449 are being brought to the attention of the Examiner in  
charge of the above-identified application. No fee is required for  
this submission because it is submitted before the mailing date of  
a first Office Action on the merits.

Copies of the documents are attached herewith with a completed  
Form PTO-1449. The Examiner is respectfully requested to cite the  
documents listed on the attached Form PTO-1449 in the next Office  
Action. In so doing, the Examiner is respectfully requested to  
initial in the space adjacent to the listing of each document on  
the Form PTO-1449, and return a copy of the initialed Form PTO-1449




with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,

**NATH & ASSOCIATES**

Date: September 25, 2001

  
\_\_\_\_\_  
Gary M. Nath  
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FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket  
24788Serial No.  
Not yet assignedApplicant  
SUGAHARA et al.Filing Date  
September 25,  
2001Group Art Unit  
Not yet assigned

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	11-74799	Mar. 16, 1999	Japan			Yes
	AM	11-187354	Jul. 9, 1999	Japan			Yes
	AN						
	AO						
	AP						

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.